

BAILLIE GIFFORD

Company Engagement on
Environmental, Social and Governance Issues

Quarter 2: 1 April 2020 – 30 June 2020



Company Engagement on Environmental, Social and Governance Issues

Company	Country	Engagement Topic(s)
4D pharma plc	United Kingdom	AGM or EGM Proposals
58.com Inc.	United States	Corporate Governance
Abiomed, Inc.	United States	Corporate Governance
Aeon Delight Co., Ltd.	Japan	AGM or EGM Proposals
Aggreko Plc	United Kingdom	AGM or EGM Proposals
AIXTRON SE	Germany	AGM or EGM Proposals
Akatsuki Inc.	Japan	AGM or EGM Proposals
Align Technology, Inc.	United States	AGM or EGM Proposals
Alphabet Inc.	United States	Environmental/Social
Amadeus IT Group, S.A.	Spain	AGM or EGM Proposals
Amazon.com, Inc.	United States	AGM or EGM Proposals
Ambarella, Inc.	United States	AGM or EGM Proposals
Amedeo Air Four Plus Ltd	United Kingdom	Corporate Governance
American Express Company	United States	AGM or EGM Proposals
Anaplan, Inc.	United States	AGM or EGM Proposals
Anicom Holdings, Inc.	Japan	AGM or EGM Proposals
AO World plc	United Kingdom	Executive Remuneration
Appian Corporation	United States	AGM or EGM Proposals

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Arthur J. Gallagher & Co.	United States	AGM or EGM Proposals
Ascendis Pharma A/S	United States	AGM or EGM Proposals
ASML Holding N.V.	Netherlands	AGM or EGM Proposals Environmental/Social
Atlassian Corporation Plc	United States	Corporate Governance
Auto Trader Group plc	United Kingdom	Executive Remuneration
Avanza Bank Holding AB (publ)	Sweden	Environmental/Social
B3 S.A. - Brasil, Bolsa, BalcAo	Brazil	AGM or EGM Proposals
BASF SE	Germany	Environmental/Social
Beijer Ref AB (publ)	Sweden	AGM or EGM Proposals
Benefitfocus, Inc.	United States	AGM or EGM Proposals
Bengo4.com, Inc.	Japan	AGM or EGM Proposals
Blue Apron Holdings, Inc.	United States	AGM or EGM Proposals
Breedon Group plc	United Kingdom	AGM or EGM Proposals
Brembo S.p.A.	Italy	AGM or EGM Proposals
BridgeBio Pharma, Inc.	United States	AGM or EGM Proposals
Brilliance China Automotive Holdings Limited	Hong Kong	AGM or EGM Proposals
Brunello Cucinelli S.p.A.	United Kingdom	AGM or EGM Proposals

Company Engagement on Environmental, Social and Governance Issues

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Bunzl plc	United Kingdom	AGM or EGM Proposals
Burberry Group plc	United Kingdom	Executive Remuneration
C.H. Robinson Worldwide, Inc.	United States	AGM or EGM Proposals
Collectis S.A.	France	AGM or EGM Proposals
China Mobile Limited	Hong Kong	AGM or EGM Proposals
Chinasoft International Limited	Hong Kong	AGM or EGM Proposals
CIMC Vehicles (Group) Co., Ltd.	Hong Kong	AGM or EGM Proposals
CNOOC Limited	Hong Kong	AGM or EGM Proposals
Consolidated Edison, Inc.	United States	AGM or EGM Proposals
Creo Medical Limited	United Kingdom	AGM or EGM Proposals
CRH plc	Ireland	AGM or EGM Proposals Executive Remuneration
Cullen/Frost Bankers, Inc.	United States	AGM or EGM Proposals
CyberAgent, Inc.	Japan	Corporate Governance
CyberArk Software Ltd.	United States	AGM or EGM Proposals
DaikyoNishikawa Corporation	Japan	AGM or EGM Proposals
Delivery Hero SE	Germany	AGM or EGM Proposals
Demae-Can Co.,Ltd	Japan	AGM or EGM Proposals

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Company	Country	Engagement Topic(s)
Deutsche Börse AG	Germany	AGM or EGM Proposals
DexCom, Inc.	United States	Environmental/Social
Dialog Semiconductor Plc	United Kingdom	AGM or EGM Proposals
DiaSorin S.p.A.	Italy	AGM or EGM Proposals
Digimarc Corporation	United States	AGM or EGM Proposals
Discovery Limited	South Africa	Environmental/Social
Dream Incubator Inc.	Japan	AGM or EGM Proposals
Ecolab Inc.	United States	AGM or EGM Proposals
Edenred SA	France	AGM or EGM Proposals
Edwards Lifesciences Corporation	United States	AGM or EGM Proposals
EnQuest PLC	United Kingdom	AGM or EGM Proposals Corporate Governance Executive Remuneration
ESI Group SA	France	AGM or EGM Proposals
Eudora Investment Funds Plc	Ireland	Corporate Governance
Experian plc	United Kingdom	Executive Remuneration
Fairfax Financial Holdings Limited	Canada	AGM or EGM Proposals
Fanuc Corporation	Japan	Executive Remuneration

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Company	Country	Engagement Topic(s)
Fastenal Company	United States	AGM or EGM Proposals
FDM Group (Holdings) plc	United Kingdom	AGM or EGM Proposals
Ferrari N.V.	Italy	AGM or EGM Proposals
Fevertree Drinks Plc	United Kingdom	AGM or EGM Proposals
First Republic Bank	United States	Corporate Governance
Fondul Proprietatea SA	Romania	AGM or EGM Proposals
Fortive Corporation	United States	AGM or EGM Proposals
Fukuoka Financial Group, Inc.	Japan	AGM or EGM Proposals
Galapagos NV	Netherlands	AGM or EGM Proposals
Galaxy Entertainment Group Limited	Hong Kong	AGM or EGM Proposals
Galp Energia, SGPS, S.A.	Portugal	AGM or EGM Proposals
Gecina SA	France	AGM or EGM Proposals
Geely Automobile Holdings Limited	Cayman Islands	AGM or EGM Proposals
Genmab A/S	Denmark	Corporate Governance
Glaukos Corporation	United States	Corporate Governance
Global Fashion Group	Germany	AGM or EGM Proposals
Global Unichip Corp.	Taiwan	AGM or EGM Proposals
Glodon Company Limited	China	AGM or EGM Proposals

Company Engagement on Environmental, Social and Governance Issues

Company	Country	Engagement Topic(s)
Greencoat UK Wind PLC	United Kingdom	AGM or EGM Proposals
Grupo Financiero Banorte, S.A.B. de C.V.	Mexico	AGM or EGM Proposals
Hamakyorex Co., Ltd.	Japan	AGM or EGM Proposals
Hammerson plc	United Kingdom	AGM or EGM Proposals
Hargreaves Lansdown plc	United Kingdom	Corporate Governance
Hays plc	United Kingdom	Executive Remuneration
Heineken Holding N.V.	Netherlands	AGM or EGM Proposals
HMS Networks AB (publ)	Sweden	AGM or EGM Proposals
home24 SE	Germany	AGM or EGM Proposals
Horizon Discovery Group plc	United Kingdom	AGM or EGM Proposals
Housing Development Finance Corporation Limited	India	Corporate Governance
Howden Joinery Group Plc	United Kingdom	AGM or EGM Proposals
HOYA Corporation	Japan	AGM or EGM Proposals
HSBC Bank plc	United Kingdom	AGM or EGM Proposals
Hypoport SE	Germany	AGM or EGM Proposals
Icade SA	France	AGM or EGM Proposals
Iida Group Holdings Co., Ltd.	Japan	AGM or EGM Proposals
Inchcape plc	United Kingdom	AGM or EGM Proposals

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Company	Country	Engagement Topic(s)
Informa plc	United Kingdom	AGM or EGM Proposals
Inner Mongolia Yili Industrial Group Co., Ltd.	China	AGM or EGM Proposals
International Public Partnerships Limited	United Kingdom	AGM or EGM Proposals
Ionis Pharmaceuticals, Inc.	United States	Corporate Governance
IPG Photonics Corporation	United States	AGM or EGM Proposals
JAFCO Co., Ltd.	Japan	AGM or EGM Proposals
James Fisher and Sons plc	United Kingdom	AGM or EGM Proposals
Japan Exchange Group, Inc.	Japan	AGM or EGM Proposals
Japan Petroleum Exploration Co., Ltd.	Japan	AGM or EGM Proposals
Jardine Matheson Holdings Limited	Bermuda	Corporate Governance
Jardine Strategic Holdings Limited	Bermuda	AGM or EGM Proposals
JEOL Ltd.	Japan	AGM or EGM Proposals
John Laing Group plc	United Kingdom	Environmental/Social
John Wood Group PLC	United Kingdom	AGM or EGM Proposals
Jp-Holdings, Inc.	Japan	AGM or EGM Proposals
Just Eat Takeaway.com N.V.	Netherlands	AGM or EGM Proposals
	United Kingdom	AGM or EGM Proposals
Just Group plc	United Kingdom	AGM or EGM Proposals

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Company	Country	Engagement Topic(s)
Keller Group plc	United Kingdom	AGM or EGM Proposals
Kering SA	France	AGM or EGM Proposals
Keywords Studios plc	United Kingdom	AGM or EGM Proposals
KGHM Polska Miedz S.A.	Poland	AGM or EGM Proposals
Kingdee International Software Group Company Limited	Cayman Islands	AGM or EGM Proposals
Kingspan Group plc	Ireland	AGM or EGM Proposals Corporate Governance
Kitanotatsujin Corporation	Japan	AGM or EGM Proposals
KOSe Corporation	Japan	AGM or EGM Proposals
LOCONDO, Inc.	Japan	AGM or EGM Proposals
Luzhou Laojiao Co.,Ltd.	China	AGM or EGM Proposals
LVMH Moët Hennessy - Louis Vuitton, Societe Europeenne	France	AGM or EGM Proposals
M&G Group Limited	United Kingdom	AGM or EGM Proposals
M&T Bank Corporation	United States	AGM or EGM Proposals
Makita Corporation	Japan	AGM or EGM Proposals
Martin Marietta Materials, Inc.	United States	Corporate Governance
Masimo Corporation	United States	AGM or EGM Proposals

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Matsui Securities Co., Ltd.	Japan	AGM or EGM Proposals
Meggitt PLC	United Kingdom	AGM or EGM Proposals Corporate Governance
Melrose Industries PLC	United Kingdom	AGM or EGM Proposals
MercadoLibre, Inc.	Argentina	Environmental/Social
MISUMI Group Inc.	Japan	AGM or EGM Proposals
Mitsubishi UFJ Lease & Finance Company Limited	Japan	AGM or EGM Proposals
MMG Limited	Hong Kong	AGM or EGM Proposals
Moderna, Inc.	United States	Executive Remuneration
MRC Global Inc.	United States	AGM or EGM Proposals
Murata Manufacturing Co., Ltd.	Japan	AGM or EGM Proposals
NanoCarrier Co., Ltd.	Japan	AGM or EGM Proposals
Naspers Limited	South Africa	Environmental/Social
Nestle S.A.	Switzerland	AGM or EGM Proposals
Netflix, Inc.	United States	AGM or EGM Proposals
Nexteer Automotive Group Limited	Hong Kong	AGM or EGM Proposals
Nidec Corporation	Japan	AGM or EGM Proposals
NIO, Inc	China	Corporate Governance

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NIO, Inc	United States	Corporate Governance
Nomura Cross Asset Momentum Fund	Ireland	Corporate Governance
Novozymes A/S	Denmark	Corporate Governance
NuCana plc	United States	AGM or EGM Proposals
OpenDoor Inc.	Japan	AGM or EGM Proposals
Peloton Interactive, Inc.	United States	Corporate Governance
PepsiCo, Inc.	United States	AGM or EGM Proposals
Poletowin Pitcrew Holdings, Inc.	Japan	AGM or EGM Proposals
Porvair plc	United Kingdom	AGM or EGM Proposals
Premier Oil plc	United Kingdom	AGM or EGM Proposals
Proya Cosmetics Co.,Ltd.	China	AGM or EGM Proposals
PT Aneka Tambang Tbk	Indonesia	AGM or EGM Proposals
Public Joint Stock Company ALROSA	Russia	AGM or EGM Proposals
Public Joint Stock Company Mining and Metallurgical Company Norilsk Nickel	United Kingdom	Environmental/Social
PureTech Health plc	United Kingdom	AGM or EGM Proposals
Reckitt Benckiser Group plc	United Kingdom	AGM or EGM Proposals
Renishaw plc	United Kingdom	Executive Remuneration
Rightmove plc	United Kingdom	AGM or EGM Proposals

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Rio Tinto Group	United Kingdom	AGM or EGM Proposals Environmental/Social
Ritchie Bros. Auctioneers Incorporated	Canada	AGM or EGM Proposals Corporate Governance
Robert Walters plc	United Kingdom	AGM or EGM Proposals
Rolls-Royce Holdings plc	United Kingdom	Corporate Governance Executive Remuneration
Rotork plc	United Kingdom	AGM or EGM Proposals
Ryanair Holdings plc	Ireland	Environmental/Social
Schibsted ASA	Norway	AGM or EGM Proposals
Schneider Electric S.E.	France	AGM or EGM Proposals
Science in Sport plc	United Kingdom	AGM or EGM Proposals
Service Corporation International	United States	Corporate Governance
Shenzhou International Group Holdings Limited	Cayman Islands	AGM or EGM Proposals
Smart Metering Systems plc	United Kingdom	AGM or EGM Proposals
SMC Corporation	Japan	AGM or EGM Proposals
SoftBank Group Corp.	Japan	AGM or EGM Proposals
Sonic Healthcare Limited	Australia	Environmental/Social

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Spectris plc	United Kingdom	AGM or EGM Proposals
Spirax-Sarco Engineering plc	United Kingdom	AGM or EGM Proposals
Spotify Technology S.A.	Sweden	Environmental/Social
	United States	Environmental/Social
SQN Asset Finance Income Fund Limited	United Kingdom	Corporate Governance
SSI Securities Corporation	Vietnam	AGM or EGM Proposals
St. James's Place plc	United Kingdom	AGM or EGM Proposals
Standard Chartered PLC	United Kingdom	AGM or EGM Proposals
Storytel AB (publ)	Sweden	AGM or EGM Proposals
Summit Therapeutics plc	United Kingdom	AGM or EGM Proposals
	United States	AGM or EGM Proposals
Sysmex Corporation	Japan	AGM or EGM Proposals
Tandem Diabetes Care, Inc.	United States	AGM or EGM Proposals
TCI Co., Ltd.	Taiwan	AGM or EGM Proposals
Temenos AG	Switzerland	AGM or EGM Proposals
Tenaga Nasional Berhad	Malaysia	AGM or EGM Proposals
Tencent Holdings Limited	Cayman Islands	Environmental/Social
Tesla, Inc.	United States	Corporate Governance

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The Sherwin-Williams Company	United States	AGM or EGM Proposals
The TJX Companies, Inc.	United States	AGM or EGM Proposals
The Weir Group PLC	United Kingdom	AGM or EGM Proposals
Thermo Fisher Scientific Inc.	United States	AGM or EGM Proposals
Tissue Regenix Group plc	United Kingdom	AGM or EGM Proposals
Toto Ltd.	Japan	AGM or EGM Proposals
Toyota Motor Corporation	Japan	AGM or EGM Proposals
Toyota Tsusho Corporation	Japan	AGM or EGM Proposals
Ultra Electronics Holdings plc	United Kingdom	AGM or EGM Proposals
Umicore SA	Belgium	Environmental/Social
United Parcel Service, Inc.	United States	AGM or EGM Proposals
Vale S.A.	Brazil	AGM or EGM Proposals
Veeco Instruments Inc.	United States	AGM or EGM Proposals
Verisk Analytics, Inc.	United States	AGM or EGM Proposals
Vietnam Prosperity Joint Stock Commercial Bank	Vietnam	AGM or EGM Proposals
Vitrolife AB (publ)	Sweden	AGM or EGM Proposals
Voya Prime Rate Trust	United States	AGM or EGM Proposals
Wacom Co., Ltd.	Japan	AGM or EGM Proposals

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WDB Holdings Co., Ltd.	Japan	AGM or EGM Proposals
Weichai Power Co., Ltd.	China	AGM or EGM Proposals
Wheaton Precious Metals Corp.	Canada	AGM or EGM Proposals
Xeros Technology Group plc	United Kingdom	AGM or EGM Proposals
YONEX Co., Ltd.	Japan	AGM or EGM Proposals
Yunnan Baiyao Group Co.,Ltd	China	AGM or EGM Proposals
ZENKOKU HOSHO Co.,Ltd.	Japan	AGM or EGM Proposals
Zillow Group, Inc.	United States	AGM or EGM Proposals
zooplus AG	Germany	AGM or EGM Proposals
Zuora, Inc.	United States	AGM or EGM Proposals