

BAILLIE GIFFORD

Scottish Mortgage Investment Trust plc Vote Disclosure*

Quarter 3: 1 July 2021 – 30 Sept 2021



**This voting report reflects the votes cast by Baillie Gifford during the quarter on behalf of the investment trust. No verification is undertaken as to whether all such votes have been accepted.*

** AGM = Annual General Meeting; EGM = Extraordinary General Meeting; SGM = Special General Meeting; CRT = Court Meeting; OTH = Other Meeting; CLS = Class Meeting

| Company | Meeting Type | Meeting Date | Resolution | Proposal | Proposal Type | Vote Cast | Reason |
|---|--------------|--------------|------------|-----------------------------------|---------------|-----------|--------|
| Alibaba | Annual | 17-Sep-21 | 1.1 | Elect Director(s) | Management | For | |
| Alibaba | Annual | 17-Sep-21 | 1.2 | Elect Director(s) | Management | For | |
| Alibaba | Annual | 17-Sep-21 | 1.3 | Elect Director(s) | Management | For | |
| Alibaba | Annual | 17-Sep-21 | 2 | Appoint/Pay Auditors | Management | For | |
| Away (JRSK Inc) Convertible Promissory Note | EGM | 05-Jul-21 | 1 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Convertible Promissory Note | EGM | 05-Jul-21 | 2 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Convertible Promissory Note | EGM | 05-Jul-21 | 3 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Convertible Promissory Note | EGM | 05-Jul-21 | 4 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Convertible Promissory Note | EGM | 31-Aug-21 | 1 | Other | Management | For | |
| Away (JRSK Inc) Convertible Promissory Note | EGM | 31-Aug-21 | 2 | Other | Management | For | |
| Away (JRSK Inc) Convertible Promissory Note | EGM | 31-Aug-21 | 3 | Other | Management | For | |
| Away (JRSK Inc) Series D Pref. | EGM | 05-Jul-21 | 1 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Series D Pref. | EGM | 05-Jul-21 | 2 | Routine Business | Management | For | |
| Away (JRSK Inc) Series D Pref. | EGM | 05-Jul-21 | 3 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Series D Pref. | EGM | 05-Jul-21 | 4 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Series D Pref. | EGM | 05-Jul-21 | 5 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Series D Pref. | EGM | 05-Jul-21 | 6 | Routine Business | Management | For | |
| Away (JRSK Inc) Series D Pref. | EGM | 31-Aug-21 | 1 | Other | Management | For | |
| Away (JRSK Inc) Series D Pref. | EGM | 31-Aug-21 | 2 | Other | Management | For | |
| Away (JRSK Inc) Series D Pref. | EGM | 31-Aug-21 | 3 | Waiver of Rights of First Refusal | Management | For | |
| Away (JRSK Inc) Series Seed Pref. | EGM | 05-Jul-21 | 1 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Series Seed Pref. | EGM | 05-Jul-21 | 2 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Series Seed Pref. | EGM | 05-Jul-21 | 3 | Amendment of Share Capital | Management | For | |
| Away (JRSK Inc) Series Seed Pref. | EGM | 05-Jul-21 | 4 | Routine Business | Management | For | |
| Away (JRSK Inc) Series Seed Pref. | EGM | 31-Aug-21 | 1 | Other | Management | For | |
| Away (JRSK Inc) Series Seed Pref. | EGM | 31-Aug-21 | 2 | Other | Management | For | |
| Away (JRSK Inc) Series Seed Pref. | EGM | 31-Aug-21 | 3 | Waiver of Rights of First Refusal | Management | For | |
| Blockchain Luxembourg S.A. Series C-1 Pref. | EGM | 09-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Blockchain Luxembourg S.A. Series C-1 Pref. | EGM | 23-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Capsule Corp Series D Pref. | EGM | 27-Sep-21 | 1 | Elect Director(s) | Management | For | |
| Capsule Corp Series D Pref. | EGM | 27-Sep-21 | 2 | Other | Management | For | |
| ChargePoint Holdings Inc | AGM | 12-Jul-21 | 1.001 | Elect Director(s) | Management | For | |
| ChargePoint Holdings Inc | AGM | 12-Jul-21 | 1.002 | Elect Director(s) | Management | For | |
| ChargePoint Holdings Inc | AGM | 12-Jul-21 | 1.003 | Elect Director(s) | Management | For | |
| ChargePoint Holdings Inc | AGM | 12-Jul-21 | 2 | Appoint/Pay Auditors | Management | For | |
| Ginkgo Bioworks Inc Series C Pref. | Special | 09-Sep-21 | 1 | M&A Activity | Management | For | |
| Ginkgo Bioworks Inc Series C Pref. | Special | 09-Sep-21 | 2 | Articles of Association | Management | For | |
| Ginkgo Bioworks Inc Series C Pref. | Special | 09-Sep-21 | 3 | Articles of Association | Management | For | |
| Ginkgo Bioworks Inc Series C Pref. | Special | 09-Sep-21 | 4 | Articles of Association | Management | For | |
| Ginkgo Bioworks Inc Series C Pref. | Special | 09-Sep-21 | 5 | Articles of Association | Management | For | |
| Ginkgo Bioworks Inc Series C Pref. | Special | 09-Sep-21 | 6 | Routine Business | Management | For | |
| Ginkgo Bioworks Inc Series D Pref. | Special | 09-Sep-21 | 1 | M&A Activity | Management | For | |
| Ginkgo Bioworks Inc Series D Pref. | Special | 09-Sep-21 | 2 | Articles of Association | Management | For | |

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| Ginkgo Bioworks Inc Series D Pref. | Special | 09-Sep-21 | 3 | Articles of Association | Management | For |
| Ginkgo Bioworks Inc Series D Pref. | Special | 09-Sep-21 | 4 | Articles of Association | Management | For |
| Ginkgo Bioworks Inc Series D Pref. | Special | 09-Sep-21 | 5 | Articles of Association | Management | For |
| Ginkgo Bioworks Inc Series D Pref. | Special | 09-Sep-21 | 6 | Routine Business | Management | For |
| Ginkgo Bioworks Inc Series E Pref. | Special | 09-Sep-21 | 1 | M&A Activity | Management | For |
| Ginkgo Bioworks Inc Series E Pref. | Special | 09-Sep-21 | 2 | Articles of Association | Management | For |
| Ginkgo Bioworks Inc Series E Pref. | Special | 09-Sep-21 | 3 | Articles of Association | Management | For |
| Ginkgo Bioworks Inc Series E Pref. | Special | 09-Sep-21 | 4 | Articles of Association | Management | For |
| Ginkgo Bioworks Inc Series E Pref. | Special | 09-Sep-21 | 5 | Articles of Association | Management | For |
| Ginkgo Bioworks Inc Series E Pref. | Special | 09-Sep-21 | 6 | Routine Business | Management | For |
| Grail Inc Series B Pref. | EGM | 12-Jul-21 | 1 | M&A Activity | Management | For |
| Grail Inc Series B Pref. | EGM | 16-Aug-21 | 1 | Remuneration - Other | Management | For |
| Grail Inc Series B Pref. | EGM | 16-Aug-21 | 2 | Waiver of Rights of First Refusal | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 1.A | Annual Report | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 1.B | Annual Report | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 2 | Allocation of Income | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 3 | Elect Director(s) | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 4 | Remuneration - Other | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 5 | Remuneration - Other | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 6 | Remuneration - Other | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 7 | Related Party Transactions | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 8 | Routine Business | Management | For |
| HDFC Corp | AGM | 20-Jul-21 | 9 | Amendment of Share Capital | Management | For |
| Honor Technology, Inc Series D Pref. | EGM | 09-Aug-21 | 1 | Articles of Association | Management | For |
| Honor Technology, Inc Series D Pref. | EGM | 09-Aug-21 | 2 | Elect Director(s) | Management | For |
| Honor Technology, Inc Series D Pref. | EGM | 09-Aug-21 | 3 | Other | Management | For |
| Honor Technology, Inc Series D Pref. | EGM | 09-Aug-21 | 4 | Other | Management | For |
| Honor Technology, Inc Series D Pref. | EGM | 09-Aug-21 | 5 | Other | Management | For |
| Honor Technology, Inc Series D Pref. | EGM | 09-Aug-21 | 6 | Other | Management | For |
| Horizon Robotics Series C Pref. | EGM | 27-Aug-21 | 1 | Employee Equity Plan | Management | For |
| Horizon Robotics Series C Pref. | EGM | 27-Aug-21 | 2 | Employee Equity Plan | Management | For |
| Indigo Agriculture Inc D Series D Pref. | EGM | 13-Aug-21 | 1 | Employee Equity Plan | Management | For |
| Indigo Agriculture Inc Series E Pref. | EGM | 13-Aug-21 | 1 | Employee Equity Plan | Management | For |
| Indigo Agriculture, Inc Common | EGM | 13-Aug-21 | 1 | Employee Equity Plan | Management | For |
| Indigo Agriculture, Inc. Series F Pref. | EGM | 13-Aug-21 | 1 | Employee Equity Plan | Management | For |
| Indigo Agriculture, Inc. Series G Pref. | EGM | 13-Aug-21 | 1 | Employee Equity Plan | Management | For |
| JRSK Inc (Away) Convertible Promissory Note 2 | EGM | 31-Aug-21 | 1 | Other | Management | For |
| JRSK Inc (Away) Convertible Promissory Note 2 | EGM | 31-Aug-21 | 2 | Other | Management | For |
| JRSK Inc (Away) Convertible Promissory Note 2 | EGM | 31-Aug-21 | 3 | Waiver of Rights of First Refusal | Management | For |
| Kering | OGM | 06-Jul-21 | 1 | Share Repurchase | Management | For |
| Lilium GmbH Series B-2 | EGM | 09-Jul-21 | 1 | Annual Report | Management | For |
| Lilium GmbH Series B-2 | EGM | 09-Jul-21 | 2 | Annual Report | Management | For |
| Lilium GmbH Series B-2 | EGM | 09-Sep-21 | 1 | Employee Equity Plan | Management | For |
| Lilium GmbH Series B-2 | EGM | 09-Sep-21 | 2 | Other | Management | For |
| Lilium GmbH Series B-2 | EGM | 09-Sep-21 | 3 | Other | Management | For |
| Pinduoduo Inc ADR | Annual | 25-Jul-21 | 1 | Elect Director(s) | Management | For |
| Pinduoduo Inc ADR | Annual | 25-Jul-21 | 2 | Elect Director(s) | Management | For |
| Pinduoduo Inc ADR | Annual | 25-Jul-21 | 3 | Elect Director(s) | Management | For |
| Pinduoduo Inc ADR | Annual | 25-Jul-21 | 4 | Elect Director(s) | Management | For |

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| Pinduoduo Inc ADR | Annual | 25-Jul-21 | 5 | Elect Director(s) | Management | For | |
| Pinduoduo Inc ADR | Annual | 25-Jul-21 | 6 | Elect Director(s) | Management | For | |
| Pinduoduo Inc ADR | Special | 29-Sep-21 | 1 | Other | Management | For | |
| PsiQuantum Series D Pref. | EGM | 22-Sep-21 | 1 | Articles of Association | Management | For | |
| PsiQuantum Series D Pref. | EGM | 22-Sep-21 | 2 | Amendment of Share Capital | Management | For | |
| PsiQuantum Series D Pref. | EGM | 22-Sep-21 | 3 | Waiver of Rights of First Refusal | Management | For | |
| PsiQuantum Series D Pref. | EGM | 22-Sep-21 | 4 | Routine Business | Management | For | |
| Salt Pay Co Ltd Voting Ordinary Shares | EGM | 30-Jul-21 | 1 | Director Related | Management | For | |
| Snowflake Inc | Annual | 08-Jul-21 | 1A. | Elect Director(s) | Management | For | |
| Snowflake Inc | Annual | 08-Jul-21 | 1B. | Elect Director(s) | Management | For | |
| Snowflake Inc | Annual | 08-Jul-21 | 1C. | Elect Director(s) | Management | For | |
| Snowflake Inc | Annual | 08-Jul-21 | 2 | Appoint/Pay Auditors | Management | For | |
| Udacity Inc Series D Pref. | EGM | 15-Jul-21 | 1 | Waiver of Rights of First Refusal | Management | No Vote | We did not exercise our right of co-sale and our right of refusal as we wish to remain holders of the stock and not increase our holding. |
| Udacity Inc Series D Pref. | EGM | 15-Jul-21 | 2 | Routine Business | Management | No Vote | We did not exercise our right of co-sale and our right of refusal as we wish to remain holders of the stock and not increase our holding. |
| Udacity Inc Series D Pref. | EGM | 15-Jul-21 | 3 | Waiver of Rights of First Refusal | Management | For | |
| Udacity Inc Series D Pref. | EGM | 07-Sep-21 | 1 | Waiver of Rights of First Refusal | Management | No Vote | We did not exercise our right of co-sale and our right of refusal as we wish to remain holders of the stock and not increase our holding. |
| Udacity Inc Series D Pref. | EGM | 07-Sep-21 | 2 | Other | Management | No Vote | We did not exercise our right of co-sale and our right of refusal as we wish to remain holders of the stock and not increase our holding. |
| Udacity Inc Series D Pref. | EGM | 07-Sep-21 | 3 | Waiver of Rights of First Refusal | Management | For | |
| Warby Parker Inc Series A Common | EGM | 10-Sep-21 | 1 | Other | Management | For | |
| Warby Parker Inc Series A Common | EGM | 10-Sep-21 | 2 | Other | Management | For | |
| Warby Parker Inc Series A Common | EGM | 10-Sep-21 | 3 | Other | Management | For | |
| Warby Parker Inc Series A Common | EGM | 10-Sep-21 | 4 | Other | Management | For | |
| Warby Parker Inc Series D Pref. | EGM | 10-Sep-21 | 1 | Other | Management | For | |
| Warby Parker Inc Series D Pref. | EGM | 10-Sep-21 | 2 | Other | Management | For | |
| Warby Parker Inc Series D Pref. | EGM | 10-Sep-21 | 3 | Other | Management | For | |
| Warby Parker Inc Series D Pref. | EGM | 10-Sep-21 | 4 | Other | Management | For | |
| Warby Parker Inc Series E Pref. | EGM | 10-Sep-21 | 1 | Other | Management | For | |
| Warby Parker Inc Series E Pref. | EGM | 10-Sep-21 | 2 | Other | Management | For | |
| Warby Parker Inc Series E Pref. | EGM | 10-Sep-21 | 3 | Other | Management | For | |
| Warby Parker Inc Series E Pref. | EGM | 10-Sep-21 | 4 | Other | Management | For | |
| Zipline International Inc Series C Pref. | EGM | 23-Jul-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Zipline International Inc Series C Pref. | EGM | 11-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Zipline International Inc Series C Pref. | EGM | 27-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | Against | We did not wish to exercise our ROFR/Co-Sale rights in this instance. |
| Zipline International Inc Series C Pref. | EGM | 27-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Zipline International Inc Series C Pref. | EGM | 27-Aug-21 | 2 | Other | Management | For | |

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| Zipline International Inc Series C Pref. | EGM | 27-Aug-21 | 3 | Articles of Association | Management | For | |
| Zipline International Inc Series C Pref. | EGM | 27-Aug-21 | 4 | Director Related | Management | For | |
| Zipline International Inc Series D Pref. | EGM | 23-Jul-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Zipline International Inc Series D Pref. | EGM | 11-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Zipline International Inc Series D Pref. | EGM | 27-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | Against | We did not wish to exercise our ROFR/Co-Sale rights in this instance. |
| Zipline International Inc Series D Pref. | EGM | 27-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Zipline International Inc Series D Pref. | EGM | 27-Aug-21 | 2 | Other | Management | For | |
| Zipline International Inc Series D Pref. | EGM | 27-Aug-21 | 3 | Articles of Association | Management | For | |
| Zipline International Inc Series D Pref. | EGM | 27-Aug-21 | 4 | Director Related | Management | For | |
| Zipline International Inc Series E Pref. | EGM | 23-Jul-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Zipline International Inc Series E Pref. | EGM | 11-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Zipline International Inc Series E Pref. | EGM | 27-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | Against | We did not wish to exercise our ROFR/Co-Sale rights in this instance. |
| Zipline International Inc Series E Pref. | EGM | 27-Aug-21 | 1 | Waiver of Rights of First Refusal | Management | For | |
| Zipline International Inc Series E Pref. | EGM | 27-Aug-21 | 2 | Other | Management | For | |
| Zipline International Inc Series E Pref. | EGM | 27-Aug-21 | 3 | Articles of Association | Management | For | |
| Zipline International Inc Series E Pref. | EGM | 27-Aug-21 | 4 | Director Related | Management | For | |